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# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE UNITED STATES DREAKTMENT OF COMMI United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS FO Box 1430 Alexandra, Vegaria 22313-1440 were infection.

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	FIRST NAMED APPLICANT	ATTY DOCKET NO.
U.S. APPLICATION NUMBER NO.		JP920030157US1
10/595,127	Kohichi Ohsumi	JF920030137031

INTERNATIONAL APPLICATION NO

PCT/IP04/11885 PRIORITY DATE LA. FILING DATE

08/19/2004 08/28/2003

**CONFIRMATION NO. 3123** 371 FORMALITIES LETTER \*OC00000020491962\*

Date Mailed: 09/20/2006

IBM MICROELECTRONICS

ESSEX JUNCTION, VT 05452

INTELLECTUAL PROPERTY LAW 1000 RIVER STREET

## NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 02/28/2006
- English Translation of the IA filed on 02/28/2006
- Copy of IPE Report filed on 02/28/2006
- Information Disclosure Statements filed on 02/28/2006
- Request for Immediate Examination filed on 02/28/2006
- U.S. Basic National Fees filed on 02/28/2006
- Priority Documents filed on 02/28/2006

The applicant needs to satisfy supplemental fees problems indicated below.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

\$130 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

#### LAMONT M HUNTER

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### PART 1 - ATTORNEY/APPLICANT COPY

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	INTERNATIONAL APPLICATION NO.	ATTY DOCKET NO	
U.S. APPLICATION NUMBER NO.		JP920030157US1	
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FORM PCT/DO/EO/905 (371 Formalities Notice)